

FORM PTO-1449

U.S. Dept. of Commerce  
Patent and Trademark OfficeAtty Docket No.  
P0150C1Serial No.  
07/205,419

## LIST OF DISCLOSURES CITED BY APPLICANT

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Cabilly et al.Filing Date  
10 Jun 1988Group  
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1	Abb as et al. <u>Cellular and Molecular Immunology</u> , Second edition pps. 38-39 (1994)
2	"Affidavit of Herbert L. Heyneker dated 03/20/97"
3	"Declaration of Allan E. Connor dated 17 May 1996, with enclosures" (1996)
4	"Declaration of Annette S. Parent, Ph.D. dated March 26, 1997" (1997)
5	"Declaration of Annette S. Parent, Ph.D. dated March 26, 1997, with attached Exhibit A" (1997)
6	"Declaration of Christoph H. Heusser dated 21 May 1996" (1996)
7	"Declaration of Dr. Samuel H. Joseloff dated March 19, 1997 (plus attachment)" (1997)
8	"Declaration of Jeffrey V. Ravetch dated 03/14/97"
9	"Declaration of Lars Abrahmsen dated 23 May 1996" (1996)
10	"Declaration of Leon R. Lyle dated 9 August 1994, with Exhibits A and B" (1994)
11	"Declaration of Marc J. Schulman dated 15 May 1996, with Exhibits A-D" (1996)
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17	"Statutory Declaration of Geoffrey Varranton (unsigned, 1992) (with exhibits GTY1, GTY2, GTY4 and GTY5)" (1992)

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	2	4,237,224	02.12.80	Cohen, S.			
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Examiner Initials	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
<i>MA</i>	Genentech, Inc. v. Celltech Therapeutics, Ltd., No. C98-3926 MMC, 2001 U.S. Dist. LEXIS 3489 (N.D. Cal. Mar. 16, 2001).
<i>MA</i>	Genentech, Inc.'s Notice of Mot. and Mot. Summ. Adjudication on Diligence (Jul. 28, 2000), Genentech, Inc. v. Celltech Therapeutics, Ltd., No. C98-3926 MMC, 2001 U.S. Dist. LEXIS 3489 (N.D. Cal. Mar. 16, 2001).
<i>MA</i>	Celltech Therapeutics, Ltd.'s Opp'n to Genentech's Mot. Summ. Adjudication on Diligence (Jul. 28, 2000), Genentech, Inc. v. Celltech Therapeutics, Ltd., No. C98-3926 MMC, 2001 U.S. Dist. LEXIS 3489 (N.D. Cal. Mar. 16, 2001).
<i>MA</i>	Celltech Therapeutics, Ltd.'s [Proposed] Order Denying Genentech's Mot. Summ. Adjudication on Diligence (Jul. 28, 2000), Genentech, Inc. v. Celltech Therapeutics, Ltd., No. C98-3926 MMC, 2001 U.S. Dist. LEXIS 3489 (N.D. Cal. Mar. 16, 2001).
<i>MA</i>	Genentech, Inc.'s Reply Br. Supporting Mot. Summ. Adjudication on Diligence (Jul. 28, 2000), Genentech, Inc. v. Celltech Therapeutics, Ltd., No. C98-3926 MMC, 2001 U.S. Dist. LEXIS 3489 (N.D. Cal. Mar. 16, 2001).
<i>MA</i>	Genentech, Inc.'s Separate Statement Facts Supporting Mot. Summ. Adjudication on Diligence (Jul. 28, 2000), Genentech, Inc. v. Celltech Therapeutics, Ltd., No. C98-3926 MMC, 2001 U.S. Dist. LEXIS 3489 (N.D. Cal. Mar. 16, 2001).

PHILLIP GANDER 10/5/01

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Substitute for form 1449A/PTO	ATTORNEY'S DKT No.	APPLICATION No.
	010057-003	07/205,419
	APPLICANT	
	CABILLY et al.	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	FILING DATE	GROUP
	June 10, 1988	1644

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MG	Genentech, Inc.'s [Proposed] Order Granting Genentech's Mot. Summ. Adjudication Regarding Diligence (July 28, 2000), <u>Genentech, Inc. v. Celltech Therapeutics, Ltd.</u> , No. C98-3926 MMC, 2001 U.S. Dist. LEXIS 3489 (N.D. Cal. Mar. 16, 2001).
	Genentech, Inc.'s Notice of Mot. & Mot. Construe the Count (Jul. 28, 2000), <u>Genentech, Inc. v. Celltech Therapeutics, Ltd.</u> , No. C98-3926 MMC, 2001 U.S. Dist. LEXIS 3489 (N.D. Cal. Mar. 16, 2001).
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	Genentech, Inc.'s Notice Errata in Its Count Construction Reply Br. and Notice Filing Am. Count Construction Reply Br. (Jul. 28, 2000), <u>Genentech, Inc. v. Celltech Therapeutics, Ltd.</u> , No. C98-3926 MMC, 2001 U.S. Dist. LEXIS 3489 (N.D. Cal. Mar. 16, 2001).
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	Celltech Therapeutics, Ltd.'s [Proposed] Order Denying Genentech's Mot. to Construe the Count (Jul. 28, 2000), <u>Genentech, Inc. v. Celltech Therapeutics, Ltd.</u> , No. C98-3926 MMC, 2001 U.S. Dist. LEXIS 3489 (N.D. Cal. Mar. 16, 2001).
	Genentech, Inc.'s Notice of Mot. and Mot. Summ. Adjudication that Genentech Conceived of the Invention Described by the Count before March 25, 1983 (Jul. 28, 2000), <u>Genentech, Inc. v. Celltech Therapeutics, Ltd.</u> , No. C98-3926 MMC, 2001 U.S. Dist. LEXIS 3489 (N.D. Cal. Mar. 16, 2001).
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	Genentech, Inc.'s Notice of Mot. and Mot. Summ. Adjudication that Celltech is Not Entitled to a Priority Date Based on Its British Patent Application (Jul. 28, 2000), <u>Genentech, Inc. v. Celltech Therapeutics, Ltd.</u> , No. C98-3926 MMC, 2001 U.S. Dist. LEXIS 3489 (N.D. Cal. Mar. 16, 2001).
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M	Genentech, Inc.'s Separate Statement of Undisputed Material Facts Supporting Mot. Summ. Adjudication that Celltech is Not Entitled to a Priority Date Based on Its British Patent Application (Jul. 28, 2000), <u>Genentech, Inc. v. Celltech Therapeutics, Ltd.</u> , No. C98-3926 MMC, 2001 U.S. Dist. LEXIS 3489 (N.D. Cal. Mar. 16, 2001).

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT	FILING DATE	GROUP
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<i>m</i>	Celltech Therapeutics, Ltd.'s [Proposed] Order Denying Genentech's Mot. for Summ. Adjudication that Celltech is not Entitled to Priority Based on its British Application (Jul. 28, 2000), <u>Genentech, Inc. v. Celltech Therapeutics, Ltd.</u> , No. C98-3926 MMC, 2001 U.S. Dist. LEXIS 3489 (N.D. Cal. Mar. 16, 2001).
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	Celltech Therapeutics, Ltd.'s Response of Celltech Therapeutics, Ltd. to Genentech's Objections to Evidence (Jul. 28, 2000), <u>Genentech, Inc. v. Celltech Therapeutics, Ltd.</u> , No. C98-3926 MMC, 2001 U.S. Dist. LEXIS 3489 (N.D. Cal. Mar. 16, 2001).
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	Genentech, Inc.'s Decl. of Vernon M. Winters Supporting Genentech's Mot. Summ. Adjudication, Vol. 1 of 2, Exs. 1-13 (Jul. 28, 2000), <u>Genentech, Inc. v. Celltech Therapeutics, Ltd.</u> , No. C98-3926 MMC, 2001 U.S. Dist. LEXIS 3489 (N.D. Cal. Mar. 16, 2001).
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<i>m</i>	Exs. 1 to 8 of Dep. of L. Jeanne Perry, Vol. I (Nov. 14, 1999), <u>Genentech, Inc. v. Celltech Therapeutics, Ltd.</u> , No. C98-3926 MMC, 2001 U.S. Dist. LEXIS 3489 (N.D. Cal. Mar. 16, 2001).

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PHILIP GIMORE 10/5/01

Substitute for form 1449A/PTO	ATTORNEY'S DKT NO.	APPLICATION NO.
	010057-003	07/205,419
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT	FILING DATE	GROUP
	June 10, 1988	644

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116	Continued Dep. of L. Jeanne Perry, Vol. II (Dec. 13, 1999), <u>Genentech, Inc. v. Celltech Therapeutics, Ltd.</u> , No. C98-3926 MMC, 2001 U.S. Dist. LEXIS 3489 (N.D. Cal. Mar. 16, 2001).
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	Dep. of William E. Holmes, Ph.D. (Jan. 20, 2000), <u>Genentech, Inc. v. Celltech Therapeutics, Ltd.</u> , No. C98-3926 MMC, 2001 U.S. Dist. LEXIS 3489 (N.D. Cal. Mar. 16, 2001).
	Continued Dep. of William E. Holmes, Ph.D. (Jan. 21, 2000), <u>Genentech, Inc. v. Celltech Therapeutics, Ltd.</u> , No. C98-3926 MMC, 2001 U.S. Dist. LEXIS 3489 (N.D. Cal. Mar. 16, 2001).
	Dep. of Michael B. Mumford, Vol. I (Dec. 7, 1999), <u>Genentech, Inc. v. Celltech Therapeutics, Ltd.</u> , No. C98-3926 MMC, 2001 U.S. Dist. LEXIS 3489 (N.D. Cal. Mar. 16, 2001).
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Philip Ganger 10/5/01

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT	FILING DATE	GROUP
	June 10, 1988	1CMM

## NON PATENT LITERATURE DOCUMENTS

<i>W</i>	Court's Order Granting (1) Genentech's Mot. to Admit Record from Before the Board of Patent Appeals and Interferences, and (2) Genentech's Req. for Judicial Notice; Denying Genentech's Mot. for Summ. Adjudication that (1) Celltech Is Not Entitled to a Priority Date Based On Its British Patent Application, (2) Genentech Conceived of the Invention Before March 25, 1983, and (3) Genentech Acted with Diligence; Deferring Genentech's Mot. to Construe the Count (Jul. 31, 2000), <u>Genentech, Inc. v. Celltech Therapeutics, Ltd.</u> , No. C98-3926 MMC, 2001 U.S. Dist. LEXIS 3489 (N.D. Cal. Mar. 16, 2001).
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	Genentech, Inc.'s Resp. Letter Re: Req. for Further Resps. or Clarification (Oct. 13, 1999), <u>Genentech, Inc. v. Celltech Therapeutics, Ltd.</u> , No. C98-3926 MMC, 2001 U.S. Dist. LEXIS 3489 (N.D. Cal. Mar. 16, 2001).
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